



SEC Rule 606 - Report on Routing of Customer Orders

2009 - 3rd quarter

Securities listed on the New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	3.76%
Market orders as percentage of total non-directed orders	56.38%
Limit orders as percentage of total non-directed orders	43.62%
Other orders as percentage of total non-directed orders	0.00%

Venue Receiving Significant Percentage of Total Non-Directed Orders

AUTO	12.35%
CDRG	9.96%
CRSS	77.67%
INET	0.02%

Securities listed on the Nasdaq

Summary Statistics :

Non-directed orders as percentage of total customer orders	2.97%
Market orders as percentage of total non-directed orders	45.04%
Limit orders as percentage of total non-directed orders	54.96%
Other orders as percentage of total non-directed orders	0.00%

Venue Receiving Significant Percentage of Total Non-Directed Orders

AUTO	25.54%
INET	0.11%
CDRG	8.28%
CRSS	66.07%

Securities listed on the AMEX or other regional exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	7.01%
Market orders as percentage of total non-directed orders	54.40%
Limit orders as percentage of total non-directed orders	45.60%
Other orders as percentage of total non-directed orders	0.00%

Venue Receiving Significant Percentage of Total Non-Directed Orders

AUTO	12.96%
CDRG	9.50%
CRSS	77.52%
INET	0.02%

Exchange listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	100.00%
Other orders as percentage of total non-directed orders	0.00%